

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Amanat, I., and Bundy, M.

Serial No.: 09/643,073

Filing Date: August 21, 2000

Title: Apparatus and Method for Load  
Balancing Among Data  
Communications Ports in Automated  
Securities Trading Systems

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Group Art Unit: *Unassigned*

Examiner: *Unassigned*

Docket No.: T30411US

Commissioner for Patents  
Washington, D.C. 20231

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Respectfully submitted,

Date: *August 6, 2002*

By:

*Gordon T. Arnold*

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CONFIRMATION NO. 8199



Bib Data Sheet

<b>SERIAL NUMBER</b> 09/643,073	<b>FILING DATE</b> 08/21/2000 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 3624	<b>ATTORNEY DOCKET NO.</b> T30411US
<b>APPLICANTS</b> Irfan Amanat, New York, NY; Michael Bundy, Katy, TX;				
<b>** CONTINUING DATA *****</b> <b>** FOREIGN APPLICATIONS *****</b>				
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY **</b> <b>** 10/04/2000</b>				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged _____ Examiner's Signature Initials		<b>STATE OR COUNTRY</b> NY	<b>SHEETS DRAWING</b> 13	<b>TOTAL CLAIMS</b> 20
<b>INDEPENDENT CLAIMS</b> 2				
<b>ADDRESS</b> Arnold & Associates Gordon T. Arnold Suite 630 2401 Fountainview Houston, TX 77057				
<b>TITLE</b> Apparatus and method for load balancing among data communications ports in automated securities trading systems				
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**FAX COVER SHEET**

Date: 8/6/02

To: U.S. Patent & Trademark Office Fax: (703) 308-7751  
cc: Mr. Kamran Khan (646) 521-4545

From: Gordon T. Arnold

Pages: 3 (including cover page)

Re: Apparatus and Method for Load Balancing Among  
Data Communications Ports in Automated Securities  
Trading Systems  
Serial No.: 09/643,073  
Our ref: T30411US

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